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EXAMINER

THAI, CUONG T

ART UNIT

PAPER NUMBER

2173

DATE MAILED: 05/25/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/815,439

Applicant(s)

BENNETT, STEVEN M.

Examiner

CUONG T. THAI

Art Unit

2173

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on Amendment filed on 3/2/05.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-30 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-30 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

FINAL ACTION

1. This action is responsive Amendment filed on March/02/2005.
2. Claims 1-30 are presented for examination.

Claim Rejections - 35 USC § 103

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 1-6 and 24-27 are rejected under 35 U.S.C. 103(a) as being unpatentable by Herz (USPN: 6,460,036) in view of John I. Kiger, THE DEPTH/BREADTH TRADE-OFF IN THE DESIGN OF MENU-DRIVEN USER INTERFACES, hereinafter Kiger.

As per claim 1 (method) and 24 (article including readable instruction), Herz discloses a method of presenting catered information to the user as the technique of present list to user (see block 1104 IN Fig. 10), the method comprising:

Identifying in a graphical user interface an item to be presented to the user is taught by Herz as the technique of an on-line news interface features (see col. 62, lines 43-44 and see Fig. 10 for delivers article to user);

Automatically retrieving sub-items from a storage medium, the sub-items selected dynamically based on at least one predetermined factor are taught by Herz as the techniques of **target objects are stored at one or more locations in a data**

Art Unit: 2173

communication network on data storage media associated with a computer system.

The computed similarity measurement server which function **to enable human users to locate desired target objects** using a large computer system (see col. 8, lines 58-64) where it automatically selecting **article of interest to a user** (see col. 79, lines 46-47);

Building an interface within the graphical user interface from the sub-items, presenting the interface to the user, and recording user interface activity in storage medium are taught by Herz as the techniques of automatically create a “customized newspaper” interface features (see col. 62, lines 40-47), presentation of new articles and corresponding advertisements which are of highest interest to the user (see col. 62, lines 55-56), and pieces of information are termed attributes collectively to form a profile of the target objects or a target profile. For example, where the system for customized electronic identification of desirable objects is activated to identify selection of interest, a particular category of on-line products for review or purchase by the user, it can be appreciated that there are certain unique sets of attributes which are pertinent to the particular product category of choice (see col. 9 line 65 to col. 10 line 6).

Herz, however, does not disclose the limitation of sub-items representing at least one of a depth information and a breadth information relating to the item.

Kiger discloses the limitation of sub-items representing at least one of a depth information and a breadth information relating to the item as the technique of paper reports the results of an experiment investigating human performance and preference trade-offs that accompany systematic manipulation of the breadth and depth of tree

structures in a menu-driven information retrieval system (see page 201, introduction, lines 3-6 and see Figs. 2-6 and 4-3).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to include Kiger teaching of breadth and depth information of hierarchical tree structure in a menu-driven graphical user interface into that of Herz invention. By doing so, the system would be enhanced by providing an intuitive tool of visually and graphically captured information in a graphical based tree structure user interface to its end user, thus the system would providing an intuitive tool to an end user.

As per claim 2, the limitation of wherein the storage medium further comprises one of a database or an in-memory data structure is taught by Herz as the technique of mass storage (see Fig. 1). This claim is therefore rejected for the reason as set forth above.

As per claim 3, Herz discloses the limitation wherein the at least one predetermined factor includes user preferences, user history, user queries, user interface activity and contextual information as the techniques of presentation of new articles and corresponding advertisements which are of highest interest to the user (see col. 62, lines 55-56), each user's target profile interest summary is automatically updated on a continuing basis to reflect the user's changing interest (see col. 6, lines 60-62), to enable users to locate desired target objects (see col. 8, lines 62-63), overall

Art Unit: 2173

frequency of use (see abstract) and Network Context of the Browsing System (see col. 72, line 9).

This claim is therefore rejected for the reasons as set forth above.

As per claims 4 (method) and 25 (article including readable instruction), Herz disclose the limitations of determining if the user interface activity should change the presentation of the interface of future information requests from the user, and if the user interface activity should change the interface for the future information requests from the user, adding information correlating to the user interface activity to the storage medium as one of a user specific item automatically constructs a target profile for each target object (see col. 5, lines 9-10) and target profile interest summary is automatically updated on a continuing basis to reflect the user's changing interests (see col. 6, lines 60-62) and Monitor activity and adjust profile (see Fig. 5). These claims are therefore rejected for the reasons as set forth above.

As per claim 5 (method) and 26 (article including readable instruction), Herz discloses the limitation of removing items added as a result of the user interface activity as the technique of the system for customized electronic identification of desirable objects uses a fundamental methodology for accuracy and efficiency matching users and target objects by automatically calculating, using and updating profile information (see col. 6, lines 3-7). These claims are therefore rejected for the reasons as set forth above.

As per claims 6 (method) and 27 (article including readable instruction), due to the similarity of these claim to that of claims 5 and 26, respectively, these claims are therefore rejected for the same reason applied to claims 5 and 26.

5. Claims 7, 9-20, 28 and 30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Herz (USPN: 6,460,036) in view of John I. Kiger, THE DEPTH/BREADTH TRADE-OFF IN THE DESIGN OF MENU-DRIVEN USER INTERFACES, hereinafter Kiger and further in view of Bodnar et al. (USPN: 6,310,634) hereinafter Bodnar.

As per claims 9 (method) and 30 (article including readable instruction), Herz-Kiger disclose the invention substantially as claimed above. Herz-Kiger, however, do not disclose the limitation of removing the items added as a result of the user interface activity occurs when the item is deemed uninteresting.

Bodnar discloses the limitation of removing the items added as a result of the user interface activity occurs when the item is deemed uninteresting as the technique of with the option to change or remove an existing item (see col. 20, lines 47-48).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to include Bodnar teaching of removing the items added as a result of the user interface activity occurs when the item is deemed uninteresting into that of Herz-Kiger combined invention. By doing so, the system would be enhanced by allowing user capable of removing an item deems uninteresting to end user, thus the system would providing an intuitive tool to an end user.

As per claims 7 (method) and 28 (article including readable instruction), due to the similarity of these claims to that of claims 9 (method) and 30 (article including readable instruction), these claims are therefore rejected for the same reason applied to claims 9 and 30.

As per claim 10, Herz-Kiger discloses the invention substantially as claimed above. Herz-Kiger, however, do not disclose the limitation of wherein the sub-items include default sub-items for the item to be presented to the user.

Bodnar discloses the limitation of wherein the sub-items include default sub-items for the item to be presented to the user as the technique of Initialize page and control On/Off states to default values (see Fig. 27A).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to include Bodnar teaching of wherein the sub-items include default sub-items for the item to be presented to the user into that of Herz-Kiger combined invention. By doing so, the system would be enhanced by allowing user to initiate and to define default values for sub-items in order to be outputted to an end user.

As per claim 11, Herz-Kiger disclose the invention substantially as claimed above. Herz-Kiger, however, do not disclose the limitation of wherein the sub-items include user specific sub-items derived from previous user interface activity.

Bodnar discloses the limitation of wherein the sub-items include user specific sub-items derived from previous user interface activity as the technique of Departure Time and Arrival Time of Trip to Hawaii (see Figs. 19D-19H).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to include Bodnar teaching of wherein the sub-items include user specific sub-items derived from previous user interface activity into that of Herz-Kiger combined invention. By doing so, the system would be enhanced by allowing user to specify sub-items to be edited.

As per claim 12, Herz-Kiger disclose the invention substantially as claimed above. Herz-Kiger, however, do not disclose the limitation of wherein the sub-items include item specific sub-items derived from previous user interface activity.

Bodnar discloses the limitation of wherein the sub-items include item specific sub-items derived from previous user interface activity as the technique of Departure Time and Arrival Time of Trip to Hawaii (see Figs. 19D-19H) for Specific Meeting with John Doe (see Fig. 18D).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to include Bodnar teaching of wherein the sub-items include item specific sub-items derived from previous user interface activity into that of Herz-Kiger combined invention. By doing so, the system would be enhanced by allowing user to specify item specific sub-items to be edited.

As per claim 13, Herz-Kiger discloses the invention substantially as claimed above. Herz-Kiger, however, do not disclose the limitation of wherein the sub-items include item type specific sub-items derived from previous user interface activity.

Bodnar discloses the limitation of wherein the sub-items include item type specific sub-items derived from previous user interface activity as the technique of Departure Time and Arrival Time of Trip to Hawaii (see Figs. 19D-19H) for Specific Meeting with John Doe (see Fig. 18D) of the type of Schedule Meeting (see Fig. 15 C).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to include Bodnar teaching of wherein the sub-items include item type specific sub-items derived from previous user interface activity into that of Herz-Kiger combined invention. By doing so, the system would be enhanced by allowing user to specify item type specific sub-items to be edited.

As per claim 14, Herz-Kiger disclose the invention substantially as claimed above. Herz-Kiger, however, do not disclose the limitation of wherein presenting output to the user is accomplished using one of the group comprising of: a personal computer, a personal digital assistant, a phone, a pager, and a network appliance.

Bodnar discloses the limitation of wherein presenting output to the user is accomplished using a personal digital assistant as the technique of focus is on the environment of the interface in a portable computing device such as a PDA (personal digital assistant) (see col. 13, lines 55-57).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to include Bodnar teaching of presenting output to the user is accomplished using a personal digital assistant into that of Herz-Kiger combined

invention. By doing so, the system would be enhanced by allowing portable personal digital assistant to supply information to its end user.

As per claim 15, due to the mostly similarity of this claim to that of claim 1, for the limitation of an extraction agent operable to monitor, dynamically select and fetch information relating to an item from the repository based on user request and at least one predetermined factor and a prioritization agent operable to determine a priority of presentation of the information. Herz also discloses the limitation of determine a priority of presentation of the information as the technique of presentation of new article and corresponding advertisements which are of highest interest to the user (see col. 62, lines 55-56) and a high priority message (see col. 63, line 10).

Herz, however, does not disclose the limitation of an extraction agent operable to monitor, dynamically select and fetch information relating to an item from the repository based on user request and at least one predetermined factor and the information relating to sub-items, the sub-items representing at least one of a depth information and a breadth information relating to the item.

Kiger discloses the limitation of the information relating to sub-items, the sub-items representing at least one of a depth information and a breadth information relating to the item as the technique of paper reports the results of an experiment investigating human performance and preference trade-offs that accompany systematic manipulation of the breadth and depth of tree structures in a menu-driven information retrieval system (see page 201, introduction, lines 3-6) and see Figs. 2-6 and 4-3).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to include Kiger teaching of breadth and depth information of hierarchical tree structure in a menu-driven graphical user interface into that of Herz invention. By doing so, the system would be enhanced by providing an intuitive tool of visually and graphically captured information in a graphical based tree structure user interface to its end user, thus the system would providing an intuitive tool to an end user.

Kiger, however, does not disclose the limitation of an extraction agent operable to monitor, dynamically select and fetch information relating to an item from the repository based on user request and at least one predetermined factor.

Bodnar discloses the limitation of an extraction agent operable to monitor, dynamically select and fetch information from the repository based on user request and at least one predetermined factor, as the **Smart Assistance** (see col. 34, lines 1-12) including the device 100 is used in tandem with a desktop computer PC. The desktop PC is used by the user when "at the office" and the portable computer device 100 is employed when the user is "on the road" (see col. 11, lines 10-13) and the module selector 200 presents the user with selection icons for navigating to different applications or modules of functionalities (see col. 11, lines 6-8).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to include Roth teaching of a prioritization agent operable to determine a priority of presentation of the information into that of Bodnar teaching of monitor and fetch information from the repository as directed by a user in term of home-

Art Unit: 2173

based PC and road portable device into that of Herz-Kiger combined invention. By doing so, the system would be enhanced by automatic ranking priority of information based on recency and frequency information and forwarded those information to an end user right away.

As per claim 16, Herz discloses the invention substantially as claimed above. Herz, however, does not disclose the limitation of wherein the repository resides on a different device than the storage medium.

Bodnar discloses the limitation of wherein the repository resides on a different device than the storage medium as the technique of large repositories of data reside on the desktop PC which are periodically transferred or synchronized with data residing on the portable computer device 100 (see col. 11, lines 14-18).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to include Bodnar teaching of wherein the repository resides on a different device than the storage medium into that of Herz invention. By doing so, the system would be enhanced by allowing data information synchronously transferred from repository storage of home-based device to user interface device's storage quickly.

As per claim 17, Herz discloses the invention substantially as claimed above. Herz, however, does not disclose the limitation of wherein the repository resides on the same device as the storage medium.

Bodnar discloses the limitation wherein the repository resides on the same device as the storage medium as the technique of portable computing device or information appliance 100 comprises a central processing unit 105 connected via a system bus 140 to a display 101, an input 102, ports 103, and memory 110 (see col. 10, lines 34-39).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to include Bodnar teaching of wherein the repository resides on the same device as the storage medium into that of Herz's invention. By doing so, the system would be enhanced by quickly retrieving information and forwarded information to its own system in case of lost signal to external communication.

As per claim 18, Herz discloses the invention substantially as claimed above. Herz, however, does not disclose the limitation of wherein the storage medium resides on the same device as the user interface.

Bodnar discloses the limitation of wherein the storage medium resides on the same device as the user interface as the technique of portable computing device or information appliance 100 comprises a central processing unit 105 connected via a system bus 140 to a display 101, an input 102, ports 103, and memory 110 (see col. 10, lines 34-39).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to include Bodnar teaching of wherein the storage medium resides on the same device as the user interface into that of Herz's invention. By doing so, the

system would be enhanced by quickly retrieving information and forwarded information to its own system in case of lost signal to external communication.

As per claim 19, Herz discloses the invention substantially as claimed above. Herz, however, does not disclose the limitation of wherein the storage medium resides on a different device than the user interface.

Bodnar discloses the limitation of wherein the storage medium resides on a different device than the user interface as the technique of large repositories of data reside on the desktop PC which are periodically transferred or synchronized with data residing on the portable computer device 100 (see col. 11, lines 14-18).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to include Bodnar teaching of wherein the storage medium resides on a different device than the user interface into that of Herz's invention. By doing so, the system would be enhanced by allowing data information synchronously transferred from repository storage of home-based device to user interface device quickly.

As per claim 20, due to the similarity of this claim to that of claim 14, this claim is therefore rejected for the same reasons applied to claim 14.

6. Claims 8 and 29 are rejected under 35 U.S.C. 103(a) as being unpatentable over Herz (USPN: 6,460,036) in view of John I. Kiger, THE DEPTH/BREADTH TRADE-OFF

IN THE DESIGN OF MENU-DRIVEN USER INTERFACES, hereinafter Kiger and further in view of Bates et al. (USPN: 5,390,295) hereinafter Bates.

As per claims 8 (method) and 29 (article including readable instruction), Herz-Kiger disclose the invention substantially as claimed above. Herz-Kiger, however, do not disclose the limitation of removing the items added as the result of the user interface activity occurs after a fixed time.

Bates discloses the limitation of removing the items added as the result of the user interface activity occurs after a fixed time as the technique of timer 32 keeps track of the value of the current system timer (see col. 6, lines 14-15), wherein this data is used to check for a situation where a window is in focus for a long period of time but there is no activity coming from a user and to automatically suspend the window timing function when a specified inactivity timeout period has elapsed (see col. 6, lines 22-27).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to include Bates teaching of removing the items added as the result of the user interface activity occurs after a fixed time into that of Herz-Kiger combined invention. By doing so, the system would be enhanced by providing a monitor tracking tool for removing and suspend window when there is no activity from a user for long period of time, thus the system would save space estate for other output information to an end user.

7. Claims 21-23 are rejected under 35 U.S.C. 103(a) as being unpatentable over Herz (USPN: 6,460,036) and Kiger further in view of Bodnar et al. (USPN: 6,310,634) hereinafter Bodnar and further in view of Raman (USPN: 5,748,186).

As per claim 21, Sullivan-Kiger-Bodnar discloses the invention substantially as claimed above. Sullivan-Kiger-Bodnar, however, do not disclose the limitation of wherein the interface is an audio interface.

Raman discloses the limitation of wherein the interface is an audio interface as Audio interface 141 (see Fig.1).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to include Raman's teaching of the user interface is an audio interface into that of Sullivan-Kiger-Bodnar combined invention. By doing so, the system would be enhanced by providing an audio interface to its end user.

As per claim 22, Sullivan-Kiger Bodnar disclose the invention substantially as claimed above. Sullivan-Kiger-Bodnar, however, do not disclose the limitation of wherein the interface is a visual interface.

Raman discloses the limitation of wherein the interface is a visual interface as Visual interface 142 (see Fig.1).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to include Raman's teaching of the user interface is a visual interface into that of Sullivan-Kiger-Bodnar combined invention. By doing so, the system would be enhanced by providing visual interface to its end user.

As per claim 23, Sullivan-Kiger-Bodnar discloses the invention substantially as claimed above. Sullivan-Kiger-Bodnar, however, do not disclose the limitation of wherein the interface is multi-modal.

Raman discloses the limitation of wherein the interface is multi-modal as the technique of a presenter 140 can convert the common intermediate structure 200 into multi-modal presentation as directed by the user (see col. 3, lines 8-11).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to include Raman's multi-modal interface into that of Sullivan-Kiger-Bodnar combined invention. By doing so, the system would be enhanced by providing multi interfaces to an end user wherein the end user can decided which interface which he desired to work on.

8. Applicant's arguments filed on March/02/2005 have been reconsidered, but they are not persuasive.

On the second paragraph of page 7, with respect to claims 1-6 and 24-27, Applicant agree that "Claims 1-6 and 24-27 stand rejected under 35 U.S.C. §102 (e)". The Examiner, however, do not agree to this argument since originally these claims are rejected under 35 U.S.C. §103 (a).

On the third paragraph of page 7, Applicant agrees that " Applicant submits that the references can not be combined in the manner suggest by the Examiner. Herz describes a system and method for providing customized electronic newspapers and

Art Unit: 2173

target advertisements while Kiger discuss the design of menu driven user interfaces.

There is nothing in either reference that suggests that the reference be combined with the other".

The Examiner, however, does not agree to this argument since Herz **not only** describes a system and method for providing customized electronic newspapers and target advertisements, **but also** automatically construct both target profile and target object (see abstract) where the user can set filter parameters so that the system can monitor stock prices and automatically take certain actions, such as placing buy or sell orders, or e-mailing or paging the user with a notification, when certain stock performance characteristics are met. Thus, the system can immediately notify the user when a selected stock reaches a predetermined price, without the user having to monitor the stock market activity. The user investments can be profiled in part by a "type of investment" attribute to be used in conjunction with other attributes) which distinguishes among bonds, mutual funds, growth stocks, income stock. (see col. 61, lines 46-57). Kiger, on the other hand, discloses an interactive information retrieval service providing information such as news, sports, weather and advertisements, as well as services such as electronic shopping and banking (see Kiger page 4, lines 40-41). Thus, it would have been obvious to combine Kiger's depth and breadth information regarding to sub-items of services such as news, sports, weather and electronic shopping and banking advertisements into that of Herz's invention. By doing so, the system would be enhanced by providing depth and breadth information to its end user.

Art Unit: 2173

On the second paragraph of page 8, Applicant argues that " Applicant respectfully maintains that since Kiger makes no reference to newspaper and target advertisements, one of ordinary skill in the art would not be motivated to combine these two references". The Examiner, however, does not agree to this argument since Kiger discloses an interactive information retrieval service providing information such as news, sports, weather and advertisements, as well as services such as electronic shopping and banking (see Kiger page 4, lines 40-41).

On the third paragraph of page 8, Applicant argues that " since the presently claimed invention is directed to a graphical user interface, Applicant respectfully submits that Kiger may not be applicable altogether". The Examiner, however, does not agree to this argument since in Kiger's invention, Kiger discloses and suggest THE DEPTH/BREADTH TRADE-OFF IN THE DESIGN OF MENU-DRIVEN USER INTERFACES (see title).

On this same paragraph (third paragraph), Applicant argues that " There is no description, suggestion or teaching to dynamic generate an interface, as claimed herein". The Examiner, however, does not agree to this argument since Kiger discloses an suggest an experiment is reported investigating the role of depth and breadth of menus and tree structure in user interface for information retrieval system (see abstract) of THE DESIGN OF MENU-DRIVEN USER INTERFACES (see title).

Art Unit: 2173

On the last paragraph of page 8, Applicant argues that "For example, Herz does not teach the element of "retrieving sub-items from a storage medium"". The Examiner, however, does not agree to this argument since Herz discloses the limitation of "retrieving sub-items from a storage medium" as the technique of **target objects are stored at one or more locations in a data communication network on data storage media** associated with a computer system. The computed similarity measurement server which function **to enable human users to locate desired target objects** using a large computer system (see col. 8, lines 58-64).

On the last paragraph of page 8 to the first paragraph of page 9, Applicant argues that " The Examiner suggests that Herz discloses the limitation of "sub-items are dynamically selected based on at least one pre-determined factor" "As such, Applicant requests additional information to evaluate this rejection". For more clarification, Herz discloses the limitation of "sub-items are dynamically selected based on at least one pre-determined factor" as the technique of the user can set type of investment (stocks, bonds, mutual funds, growth stocks, income stocks) attributes (parameters) so that the system can monitor stock prices and automatically take certain actions, **such as placing buy or sell orders, or e-mailing or paging the user with a notification**, when certain stock **performance characteristics are met**. Thus, the system can immediately notify the user when a selected stock **reaches a predetermined price** (see col. 61, lines 46-52). Therefore, **predetermined price is**

Art Unit: 2173

user's predetermined factor in order one should determined when to sell or buy of a certain stock.

On the second paragraph of page 9, Applicant argues that " Applicant maintain that the attributes in Herz can not be construed to be the " sub-items associated with an item"". The Examiner, however, does not agree to this argument since in Herz' invention, the user's investments can be **profiled in part by "type of investment" attribute to be used in conjunction with other attributes which distinguishes among bonds, mutual fund, growth stock, income stocks to thereby segment the user's portfolio according to investment type** (see col. 61, lines 53-58).

On the fourth paragraph of page 9 to the first paragraph of page 10, Applicant argues that " Claims 7, 9-20, 28 and 30 stand rejected under 35 U.S.C. 103 as being unpatentable over Herz in view of Kiger, further in view of Bodnar et al. (U.S. Patent No. 6,310,634, "Bodnar"). Applicant respectfully traverses the Examiner's rejection. As previously discussed, Herz does not anticipate independent Claims 1 and 24. Herz similarly does not anticipate independent claim 15. Bodnar does not teach or suggest the element of retrieving sub-items from a storage medium. Thus, the combination of Bodnar with Herz and Kiger still does not teach or suggest all the elements of independent claims (claims 1, 15, 24). As a result, the combination of Herz, Kiger and Bodnar does not teach or suggest the elements of the claims dependant on these independent claims. Applicant therefore respectfully submits that Herz, Kiger and

Art Unit: 2173

Bodnar, individually or in combination, do not render Claims 7, 9-20, 28 and 30 unpatentable". The Examiner, however, does not agree to this argument. Since these dependency claims 7, 9-20, 28 and 30 depend on rejected based claims 1, 15, and 24, respectively (see sections 4-5 above), these claims are therefore rejected for the reasons as set forth above.

Conclusion

9. Accordingly, **THIS ACTION IS MADE FINAL**. A shortened statutory period for response to this action is set to expired **THREE MONTHS, ZERO DAYS** from the date of this action. Failure to respond within the period for response will cause the application to be abandoned.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CUONG T THAI whose telephone number is (571) 272-4056. The examiner can normally be reached on 8:00 am - 4:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John W. Cabeca can be reached on (571) 272-4048. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

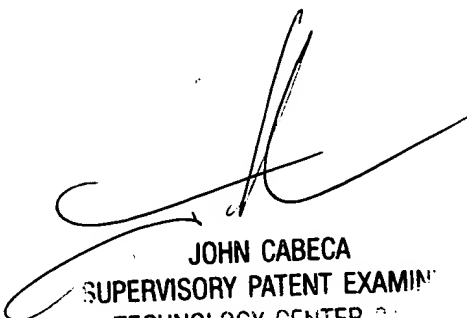
Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only.

Art Unit: 2173

For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

CUONG T THAI
Examiner
Art Unit 2173

May 18, 2005.



JOHN CABECA
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER